

1 **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2 Serial No. 10/781,586
3 Filing Date 2/17/2004
4 Confirmation No. 3412
5 Inventorship Jonathan E. Rivers-Moore
Applicant Microsoft Corporation
Attorney's Docket No. MS1-1864US
6 Title: Systems and Methods for Editing XML Documents

7 **INFORMATION DISCLOSURE STATEMENT**

8 *References -- See Attached Form PTO-1449*

9 **REMARKS**

10 The citations listed, copies attached, are submitted in compliance with the
11 duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make
12 these citations of official record in this application.

13
14 Respectfully Submitted,

15 Date: 21 Feb 06

16 By: Michael K. Colby
17 Michael K. Colby
18 Reg. No. 45816
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		10781586
Filing Date		2004-02-17
First Named Inventor	Jonathan E. Rivers-Moore	
Art Unit		
Examiner Name		
Attorney Docket Number	MS1-1984US	

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5862372		1999-01-19	Morris, et al.	
	2	6476828		2002-11-05	Burkett, et al.	
	3	6487588		2002-11-26	Sundaresan	
	4	6598219		2003-07-22	Lau	
	5	6901403		2005-05-31	Bata, et al.	
	6	6968505		2005-11-22	Stoll, et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S. PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20030051243	A1	2003-03-13	Lemmons, Thomas R.; et al.	

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Attorney Docket Number	MS1-1864US

2	20030120671	A1	2003-06-26	Kim, Hong J.; et al.	
3	20030140132	A1	2003-07-24	Champagne, Yves-Andre; et al.	
4	20030204814	A1	2003-10-30	ELO, SARA; et al.	
5	20030225829	A1	2003-12-04	Pena, Armando; et al.	
6	20030237048	A1	2003-12-25	Parker, Charles W.; et al.	
7	20040117760	A1	2004-06-17	Lauzon, David Martin; et al.	
8	20040205473	A1	2004-10-14	Fisher, Gwyn; et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	JP4225466	JP		1992-08-14	HITACHI LTD		<input type="checkbox"/>
	2	JP5314152	JP		1993-11-26	CANON KK		<input type="checkbox"/>

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3	JP6139241	JP		1994-05-20	SHARP KK		<input type="checkbox"/>
4	JP6180697	JP		1994-06-28	BROTHER IND LTD		<input type="checkbox"/>
5	JP6180698	JP		1994-06-28	BROTHER IND LTD		<input type="checkbox"/>
6	JP63085960	JP		1988-04-16	CASIO COMPUTER CO LTD		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T*
	1	Atova, "User Reference manual Version 4.4, XML Spy suite 4.4," Atova Ges.m.b.H and Altova, Inc., May 24, 2002, pages cover, copyright page, 1-565.	<input type="checkbox"/>
	2	Altova et al. XML Spy, XML Integrated Development Environments, Altova Inc., 2002, pages 1-18.	<input type="checkbox"/>
	3	Ben-Natan, Provisional Application No. 60/203,081, filed 5/9/2000, entitled "Internet platform for creating and supporting communities"	<input type="checkbox"/>
	4	Isia Soft, "Streamlining content creation, retrieval, and publishing on the Web using TEXTML Server and SML Spy 4 Suite in an integrated, Web publishing environment," (Partner's Whitepaper, published on the Web as of June 6, 2002, download pages 1-16.	<input type="checkbox"/>
	5	Kutay, Provisional Application No. 60/209,713 filed 6/5/2000, entitled, "Methods and systems for accessing, organizing presenting and viewing data"	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number	10781586
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Art Unit	
Examiner Name	
Attorney Docket Number	MS1-1834US

6	Microsoft Word 2000 (see Screen Shot "About Microsoft Word") Published 1983-1999 and Microsoft Excel 2000 (see Screen Shot "About Microsoft Excel") Published 1988-1999, Three pages	<input type="checkbox"/>
7	Moore, Provisional Application No. 60/191,662 filed 3/23/2000, entitled "Collection-based persistent digital archives"	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

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Examiner Name	
Attorney Docket Number	MS1-1864US

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

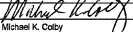
☒ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2006-02-21
Name/Print	Michael K. Colby	Registration Number	45,815

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.